

JAMES S. MCDONNELL FOUNDATION - GRANT FINANCIAL REPORT FORM

Due annually within 30 days of the closed grant year period

Report Date: 12/3/2011 (current date)
 Report Period: 11/1/10 - 10/31/11 (example: 11/1/10-10/31/11) use annual grant periods from award agreement or email reminder
 JSMF Grant #: 220099999 (usually a 9 digit number)
 Awardee Internal Reference #: _____ (grantee institution's grant identification number)
 Grant Title: Complex systems discovery in tropical rain forests
 Principal Investigator(s): Portia L. Johnson, Ph.D.
 Grantee Institutional Name: Chatman University
 Accounting Office Address: Office of Research & Project Accounting
5825 M.R. Williams Circle
St. Louis, MO 63112
 Grant Reporting Frequency: Annual (options: annual, biennial, mid-term, or final)
 Expenditure Reporting Basis: Cumulative (options: annual or cumulative)
 Award Program: Understanding Human Cognition (options: Understanding Human Cognition, Studying Complex Systems, Brain Cancer Research, Cognitive Rehabilitation)
 Award Type: Collaborative Award (options: Research, Collaborative, Scholar, Special Initiative, Planning Grant or Fellowship)

REPORT OF RECEIPTS AND EXPENDITURES

REVENUE

APPROVED AMOUNT OF AWARD: **\$ 1,800,000.00**
 TOTAL AMOUNT RECEIVED FROM JSMF TO DATE: (Cumulative or Annual) **\$ 1,815,480.41**
TOTAL REVENUE: (+/-) net of stock proceeds/sales fees if applicable **\$ 1,815,480.41**

EXPENDITURES	(Use Amounts From Approved Budget)				% Variance (-) Over
Approved Budget Line Item Categories	Planned	Actual	Difference		
PERSONNEL:					
Postdoc & Research Asst. Salary/Fringe	\$ 88,379.00	\$ 32,869.00	\$ 55,510.00	62.8%	
TOTAL PERSONNEL	\$ 88,379.00	\$ 32,869.00	\$ 55,510.00		
DIRECT COSTS					
Equipment	\$ 45,000.00	\$ 46,680.00	(\$1,680.00)	-3.7%	
Supplies	\$ 18,000.00	\$ 23,550.00	(\$5,550.00)	-30.8%	
Travel	\$ 30,000.00	\$ 30,000.00	\$0.00	0.0%	
Subcontract (Sample X University)	\$ 64,100.00	\$ 62,720.00	\$1,380.00	2.2%	
Subcontract (Sample Y University)	\$ 107,116.00	\$ 107,100.00	\$16.00	0.0%	
Subcontract (Sample Z University)	\$ 224,346.00	\$ 224,346.00	\$0.00	0.0%	
TOTAL DIRECT COSTS	\$ 488,562.00	\$ 494,396.00			
GRAND TOTAL	\$ 576,941.00	\$ 527,265.00			

ENCUMBRANCES (invoiced or billed but not paid) \$ 5,675.00
 WE REPORT A 'CARRY-OVER' FUND BALANCE IN THE AMOUNT OF: \$ 1,282,540.41

Note: A revised budget is needed if carryover funds will be reallocated differently from the approved budget.
 If additional amounts received/expended exceed the approved budget line item by 25%, a statement of overspending is required.

Revision Attached? (Yes/No) Yes
 To be submitted? (Yes/No) _____

I CERTIFY THAT ALL EXPENDITURES REPORTED OR PAYMENTS REQUESTED ARE FOR APPROPRIATE PURPOSES AND ARE IN ACCORDANCE WITH THE AGREEMENT SET FORTH IN THE AWARD DOCUMENTS.
 Typed Name/Title: Gwen S. Payne, Sr. Accountant Telephone Number: 314-721-1649
 Email Address: gwen.s.payne@examp.chatman.edu Date of Certification: 12/4/2011

Revised Budget Request & Statement of Under/Over Expenditures
JSMF#220099999 UHC Collaborative
Chatman University
P.I.: Dr. P.L. Johnson, Lead Scientist
Date: 12/3/11

Approved Budget	Year 1	Year 2	Year 3	TOTAL ALL YEARS
	11/10 - 10/11	11/11 - 10/12	11/12 - 10/13	
PERSONNEL:				
Salaries & Fringe	\$ 88,379.00	\$ 118,750.00	\$ 109,500.00	\$ 316,629.00
DIRECT COSTS				
Equipment	\$ 120,809.00	\$ -	\$ -	\$ 120,809.00
Supplies	\$ 18,000.00	\$ 22,500.00	\$ 23,500.00	\$ 64,000.00
Travel	\$ 30,000.00	\$ 35,000.00	\$ 35,000.00	\$ 100,000.00
Subcontract (X University)	\$ 64,100.00	\$ 67,000.00	\$ 68,000.00	\$ 199,100.00
Subcontract (Y University)	\$ 107,116.00	\$ 108,000.00	\$ 109,000.00	\$ 324,116.00
Subcontract (Z University)	\$ 224,346.00	\$ 225,000.00	\$ 226,000.00	\$ 675,346.00
GRAND TOTAL	\$ 652,750.00	\$ 576,250.00	\$ 571,000.00	\$ 1,800,000.00

Revised Budget (Requested)	Year 1 (Reported Actual)	Year 2	Year 3	ADJUSTED TOTAL ALL YEARS
	11/10 - 10/11	11/11 - 10/12	11/12- 10/13	
PERSONNEL:				
Salaries & Fringe	\$ 32,869.00	\$ 174,260.00	\$ 109,500.00	\$ 316,629.00
DIRECT COSTS				
Equipment	\$ 46,680.00	\$ 74,129.00	\$ -	\$ 120,809.00
Supplies	\$ 23,550.00	\$ 35,000.00	\$ 23,500.00	\$ 82,050.00
Travel	\$ 30,000.00	\$ 15,620.00	\$ 35,000.00	\$ 80,620.00
Subcontract (X University)	\$ 62,720.00	\$ 67,000.00	\$ 68,000.00	\$ 197,720.00
Subcontract (Y University)	\$ 107,100.00	\$ 108,000.00	\$ 109,000.00	\$ 324,100.00
Subcontract (Z University)	\$ 224,346.00	\$ 225,000.00	\$ 186,000.00	\$ 635,346.00
Meetings*	\$ -	\$ 29,000.00	\$ 29,000.00	\$ 58,000.00
GRAND TOTAL	\$ 527,265.00	\$ 728,009.00	\$ 560,000.00	\$ 1,815,274.00

CARRYOVER FUND BALANCE - CONSIDERS PAYABLE OR PAID ENCUMBRANCES (+)	\$ 1,282,540.41
PAYMENTS NOT YET RECEIVED FROM JSMF (+)	\$ -
REVENUE (ADJUSTED)	\$ 1,282,540.41
REVISED BUDGET TOTALS (YRS 2 & 3)	\$ 1,288,009.00
Unbudgeted/Contingent (from grant payment stock sale benefits)	\$ 206.41

Reasons for underexpenditures in Year 1:

Personnel: There was a significant unexpended carryover balance in Year 1 that was a result of difficulties in recruiting qualified research associates. Amount underspent will be carried to Year 2 for prospective RA salaries/fringe benefits.

Equipment: Because of the delay in recruiting personnel in Year 1, the major purchase of Stereoinvestigator equipment and computers are being postponed until Year 2.

* - Requesting approval of new budget line item. Research collaborator meetings to be held during years 2 and 3 of the grant period to disseminate research findings among collaborators and plan the publication of results.